

FACTS

WHAT DOES SEAPORT RESEARCH PARTNERS LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect, and share depend on the product or service you have with us. This information can include:

- Your Social Security numbers and income
- Your Assets and account balances
- Your Investment experience and risk tolerance

When you are *no longer* our client, we continue to share your information as described in this notice.

How?

All financial companies need to share clients’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients’ personal information; the reasons Seaport Research Partners LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Seaport Research share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	We do not share
For joint marketing with other financial companies	No	We do not share
For our affiliates’ everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes— information about your creditworthiness	Yes	No
For non-affiliates to market to you	No	We do not share

Questions?

Call (949) 245-2296 or send your questions to jcable@seaportrp.com.

What we do

How does Seaport Research protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Seaport Research collect my personal information?	We collect your personal information, for example, when you ■ Open an account or enter into an investment advisory agreement ■ Give us your income information and your contact information ■ Tell us about your investments We also collect your personal information from other companies
Why can't I limit all sharing?	Federal law gives you the right to limit only ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account, I hold jointly with someone else?	Your choices will apply to everyone on your account—unless you tell us otherwise.

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ■ <i>Note: Seaport Research Partners LLC. does currently have affiliates, including Seaport Global Securities LLC and Seaport Global Asset Management LLC.</i>
Non-Affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. ■ <i>Seaport Research Partners LLC does not share with non-affiliates so they can market to you</i>
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ■ <i>Seaport Research Partners LLC does not participate in joint marketing efforts</i>

Other Important information

Information for California and Nevada Customers

In response to a California law, we automatically treat accounts with California billing addresses as if you **do not** want to disclose personal information about you to non-affiliated third parties except as permitted by the applicable California law. Nevada law requires us to disclose that you may request to be placed on our “do not call” list at any time by calling (503) 746-9666. To obtain further information, contact the Bureau of Consumer Protection, Office of the Nevada Attorney General at 555 E. Washington Ave., Suite 3900, Las Vegas, NV 88101; phone 1-702-486-3132; email BCPINFO@ag.state.nv.us.